

To: Edmund Ward[Edmund.Ward@ofgem.gov.uk]
From: Jane Pierce
Sent: 2016-07-19T09:27:55Z
Importance: Normal
Subject: FW: NI Audits [OFFICIAL Internal Only]
Received: 2016-07-19T09:27:57Z

To see that Michael did come back on it.

J

From: Michael Knight
Sent: 28 June 2016 17:59
To: Jane Pierce; Edmund Ward; Gareth John; James Robinson
Subject: RE: NI Audits [OFFICIAL Internal Only]

Thanks Jane, and noted.

I agree with your rationale for modifying the response.

Have we already asked whether NI will reciprocate and share the installations they are proposing to audit with us, for the same reasons that arise in relation to our audits?

Otherwise, I don't have any additional comments or suggestions regarding the response.

Best wishes Michael.

From: Jane Pierce
Sent: 28 June 2016 11:30
To: Edmund Ward; Gareth John; Michael Knight; James Robinson
Subject: RE: NI Audits [OFFICIAL Internal Only]

All,

An alternative wording for the response is set out below on the basis that the case relating specific complaint has now been informed that we will be conducting a site audit – this is due to take place on the 30th June. As such, the risks of sharing this info are very much reduced.

Thank you for clarifying your requirements. As we discussed, we have considered your request in line with the Administrative Arrangements and data sharing protocol. I can confirm that we are able to supply information relating to our forthcoming planned site audit visits to inform your sampling approach.

As set out in paragraph of 8.1 of the Admin Arrangements, we request that this information is kept confidential and is not disclosed to any third party without prior written consent.

Many thanks.

Jane

From: Jane Pierce
Sent: 26 June 2016 13:52
To: Edmund Ward; Gareth John; Michael Knight; James Robinson
Subject: FW: NI Audits [OFFICIAL Internal Only]

Hi all,

To see that DfE have responded to more info – grateful for thoughts on suggested wording for response below:

Thank you for clarifying your requirements. As we discussed, we have considered your request. In line with the Administrative Arrangements and data sharing protocol, I can confirm that we are able to supply information relating to our forthcoming routine, planned site audit visits to inform your sampling approach. As set out in paragraph of 8.1 of the Admin Arrangements, we request that this information is kept confidential and is not disclosed to any third party without prior written consent.

In some cases, we may also undertake specific targeted site audits which may take place in response to a specific complaint/allegation. Once further investigation of an allegation/complaint had taken place and in accordance with the admin arrangements, we would inform DfE in writing of any cases where we have grounds to suspect any financial irregularity in relation to the NI RHI.

Many thanks.

Jane

From: Marten, Lucy [mailto:Lucy.Marten@economy-ni.gov.uk]
Sent: 24 June 2016 14:43
To: Teri Clifton; Jane Pierce
Cc: Hughes, Seamus; Clydesdale, Alison; Willis, Adele; Briggs, Peter
Subject: NI Audits

Hi Teri and Jane

All we really want to ensure is that there is no duplication of site visits between your business as usual schedule and those selected by

Received from OF GEM on 25.05.2017

Annotated by RHI Inquiry

the independent body to be appointed to conduct additional site audits. From that perspective all we really need from you is a list of the NIRHI reference numbers selected for business as usual audit visits.

When the independent body is selecting its site visit sample the NIRHI reference number will be a key identifier and we can simply provide reference numbers of those already audited, (or due to be audited), by you and ask that they be excluded from any sample.

In relation to security of data, I understand that there is a data sharing protocol in place which sets out the conditions of all data exchanges between DfE and Ofgem.

Happy to discuss.

Lucy

Lucy Marten

Energy Efficiency

Department for the Economy

Netherleigh

Massey Avenue

Belfast, BT4 2JP

Tel: 028 9052 9251 (ext: 29251)

Mob: Sensitive investigative information redacted by the RHI Inquiry

TextRelay: 18001 028 9052 9251

Web: www.economy-ni.gov.uk

NI Year of Food & Drink 2016

Please consider the environment - do you really need to print this e-mail?

---- Original Message ----

From: Teri Clifton <teri.clifton@ofgem.gov.uk>

Date: 21 June 2016, 14:42 BST

To: Marten, Lucy <Lucy.Marten@economy-ni.gov.uk>

CC: Gareth John <Gareth.John@ofgem.gov.uk>, Jane Pierce <Jane.Pierce@ofgem.gov.uk>

Subject: NI Audits

Hi Lucy

In our meeting this morning, you requested information relating to our planned audit visits. As we explained, we have not previously provided this information as part of our business as usual arrangements – though we will of course be very happy to consider your request.

It would be very helpful if you could confirm what information you need; how you propose to use any data we are able to provide; and confirm that any information provided would remain confidential and not be disclosed to participants/third parties.

Just so you are aware, I will be on annual leave from 23 June until 8 July. Jane Pierce will be happy to answer any queries in my absence.

Regards

Teri

Teri Clifton

Head of Operations

Non-Domestic RHI

Ofgem

3rd Floor

Cornerstone

107 West Regent Street

Glasgow

G2 2BA

Tel: 0141 341 3991

www.ofgem.gov.uk



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[Internal Only]

To: 'Edmund Ward (Edmund.Ward@ofgem.gov.uk)'[Edmund.Ward@ofgem.gov.uk]
From: Jane Pierce
Sent: 2016-07-19T09:27:56Z
Importance: Normal
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CC: Gareth John <Gareth.John@ofgem.gov.uk>, Jane Pierce <Jane.Pierce@ofgem.gov.uk>

Subject: NI Audits

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[Internal Only]

To: Marten, Lucy[Lucy.Marten@economy-ni.gov.uk]; 'Hughes, Seamus'[Seamus.Hughes@economy-ni.gov.uk]
Cc: Jane Pierce[Jane.Pierce@ofgem.gov.uk]; Teri Clifton[teri.clifton@ofgem.gov.uk]; Gareth John[Gareth.John@ofgem.gov.uk]; Marc Tischler[Marc.Tischler@ofgem.gov.uk]
From: Edmund Ward
Sent: 2016-07-19T11:58:29Z
Importance: Normal
Subject: List of site audits selected to date for 2016/17 by Ofgem E-Serve [OFFICIAL]
Received: 2016-07-19T11:58:30Z

Hi Lucy, Seamus

We're in a position to send the below email, via our GSI account in line with the routine approach to sharing data between Ofgem and DfE.

Before we send it, I just wanted to confirm that this would be all the fields you would need to progress with your audit programme. [You already have other details associated with each RHI number as part of regular reporting, so we weren't proposing to resend those, but please advise if any other data fields would be useful]. Of course, if you subsequently have further requests we can consider providing those fields as required.

Kind regards,
Edmund

Hi Lucy,

Thank you for clarifying your requirements regarding your request for a list of sites being audited as part of our ongoing administration in 2016/17. As we discussed, we have considered your request in line with the Administrative Arrangements and data sharing protocol. I can confirm that we are able to supply information relating to our forthcoming planned site audit visits to inform your sampling approach.

As set out in paragraph 8.1 of the Admin Arrangements, we request that this information is kept confidential and is not disclosed to any third party without prior written consent.

The RHI numbers follow below along with the current status ('audit conducted', or 'audit currently proposed/scheduled').

Kind regards,
Edmund

No.	NI RHI	Batch	Status
1	[these will be inserted in the version sent by GSI]	1	audit conducted
2	...	1	audit conducted
3		1	audit conducted
4		1	audit conducted
5		1	audit conducted
6		1	audit conducted
7		1	audit conducted
8		2	audit conducted
9		2	audit conducted
10		2	audit conducted
11		2	audit conducted
12		2	audit conducted

13		2	audit conducted
14		2	audit conducted
15		3	audit currently proposed/scheduled
16		3	audit currently proposed/scheduled
17		3	audit currently proposed/scheduled
18		3	audit currently proposed/scheduled
19		3	audit currently proposed/scheduled
20		3	audit currently proposed/scheduled
21		3	audit currently proposed/scheduled
22		3	audit currently proposed/scheduled
23		3	audit currently proposed/scheduled
24		3	audit currently proposed/scheduled
25		3	audit currently proposed/scheduled
26		3	audit currently proposed/scheduled

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