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[Document1.docx](#)

All, as discussed I've pulled out some possible questions on the Processes and Controls section of the PwC report. Grateful if all could urgently consider and provide a completed document to Stuart for inclusion in the PAC pack. I appreciate that this is a challenge for all parties to complete in the time available but I think it is a priority for us to have agreed lines.

Many thanks to all in anticipation.

Brendan

QUESTIONS ON THE PROCESSES AND CONTOLS SECTION OF THE PwC REPORT.

1. Paragraph 4.1 says that the Department is responsible for developing the overarching policy framework. What arrangements did the Department put in place with Ofgem, or what did the Administrative arrangement with Ofgem cover?

Lines to take.

- The Administrative arrangements were drawn up to....
2. How was Ofgem managed? Who was responsible for monitoring adherence to the Administrative arrangements? Why were the Administrative arrangements not clearly defined and why were no key performance indicators agreed between the Department and Ofgem?

Lines to take

- Ofgem was managed through a series of regular meetings and discussions.
3. Paragraph 4 10 of the PwC report make reference to the submission of inaccurate schematics by applicants and Ofgem's acceptance of these. What is the Department's view of this?

Lines to Take

- I understand that Ofgem consider the totality of the evidence before deciding to approve an application...
4. In paragraph 4.11 there is a reference to 'independent' metering reports from the installer. What is the Department's view of the 'independence' of these reports?

Lines to take

- It has to be accepted that the reports are not truly independent but

5. In paragraph 4.12 reference is made to installations being considered on a case by case basis and not in totality. Is the Department happy that this approach is being taken by Ofgem?

Lines to take.

- This is something that we will

6. Paragraph 4.13 makes reference to approvals being given when low quality information has been submitted by applicants. What is the Department's view of this?

Lines to take

- The decision to approve installations is a matter for Ofgem who use professional judgement on all the evidence submitted to them....

7. Is the Department content with the low level of pre- accreditation checks that have been carried out by Ofgem?

Lines to Take

- The level of pre- accreditation checks ...

8. On the issue of the validation of meter readings is the Department content with the approach being taken by Ofgem?

Lines to take

- I understand that Ofgem apply reasonability checks.....
- The report also notes that PWC did not identify any significant issues with respect to meter readings being inconsistent with the data that had been submitted to Ofgem

9. Why did the Department not ensure that Ofgem had a relevant risk management strategy in place?

Lines to take

- The Department...

10. Why did the Department not follow up the results of site inspection with Ofgem on a regular basis?

Lines to take.

- The Department received...

11. Given the findings of the PwC report is the Department happy with the service provided by Ofgem and its sub contractor?

Lines to take.

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