

Renewable Heat Incentive

Site Audit Programme

Audit Report Cover Sheet

RHI Number:	S Brimstone's RHI appl no redacted by the RHI
Installation Name:	Stephen Brimstone
Draft Report received:	28/07/2016
Final Report received:	08/08/2016

Estimated Lifetime Value (£)	Maximum Potential Loss (%)	Maximum Potential Loss (£)	Assurance Rating
TBC - ST to fill out	0%	0%	Weak
Assumption: The site audit has resulted in an assurance rating of Weak on the basis that the non-compliance/s identified indicate moderate issues with eligibility which can be rectified within a reasonable timescale and will have a moderate impact on the wider scheme and Ofgem.			

Draft Report Comments					
Ref	Comment	Action	Responsible	Reviewer	Date
Exec Summary	Guidance notes referred to in first observation are concerned with requirement for commissioning date to be post 01/09/2010	Ofgem to confirm what NI guidance / regs have to say about this observation	Policy	RB	03/08/16
Obs 2 3.1.2.4	Given the information and the photos, we cannot be confident that this building meets the requirements of Non-single domestic status, and it was these concerns that resulted in it being flagged for audit. This is something the compliance team will have to investigate further.	Please raise this as a non-compliance, with the remedial action still saying 'Ofgem to investigate' - Regulation 15 (2)	Ricardo	ST/MG	08/08/2016
	Amended.			Rooney, Seamus (Ricardo-AEA)	08/08/2016
Assurance rating	Please change the assurance rating to Weak as we are not able to confirm that the participant is still eligible if they do not meet	Assurance rating to change to Weak	Ricardo	ST	08/08/2016

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	the non-single domestic criteria Amended.			Rooney, Seamus (Ricardo- AEA)	08/08/2016
3.1.1.3	Typo by auditor – serial no. of generating plant is accurate in application (HK110)	Please change accordingly on report	Ricardo	RB/CD/ST	05/08/16
	Amended.			Rooney, Seamus (Ricardo- AEA)	08/08/2016
3.1.1.10	Auditor estimates buffer vessel to be 1000 litres. Application states 2000 litres	For information only	Audit / Ricardo	RB/CD	05/08/16
	Amended.			Rooney, Seamus (Ricardo- AEA)	08/08/2016
3.2.1.3	Guidance is relevant for NI scheme	Ofgem to refer participant to guidance doc online	Audit / Compliance	RB	03/08/16
3.2.1.4	Possible typo by auditor – comment looks like it should read “see 3.2.1.2 notes”	Auditor to change accordingly on report	Ricardo	RB/CD	05/08/16
	Amended.			Rooney, Seamus (Ricardo- AEA)	08/08/2016
4.1.4.4	Was auditor able to measure temperature of fluid running through pipes? Unlikely.	Auditor to confirm temp reading not possible	Ricardo	RB/CD	05/08/16
	Note added. It was not possible to determine the temperature of the working fluid.			Rooney, Seamus (Ricardo- AEA)	08/08/2016

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	Comments all amended. Report approved.				

Final Report Comments					
Ref	Comment	Action	Responsible	Reviewer	Date

Approved by: Shaneigh Turner **Date:** 08/08/2016

Closure letter sent: Y/N Date sent: DD/MM/YYYY For compliance action: Y/N

For compliance team use

Compliance information:

Info Request: Y/N Non-compliance: Y/N Sanction: Y/N Sanction type if applicable:

Action	Comments	Reviewer	Date	Next Action	Next Reviewer	Date due:

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Summary of action taken:

Amount Recouped (£)

Audit notified: Yes/No

Feedback for auditors: