

To: Lewis Martin[Lewis.Martin@ofgem.gov.uk]
Cc: Edmund Ward[Edmund.Ward@ofgem.gov.uk]
From: Li, Lin L (UK - London)
Sent: 2016-03-31T11:54:16Z
Importance: Normal
Subject: RE: queries re periodic data check [OFFICIAL]
Received: 2016-03-31T11:55:28Z

Hi Lewis

Further to the queries below, can you please also provide a list of exceptions (both external and internal) that is set up in CRM?

Please kindly let me know if it's easier to discuss on a call.

Thanks!

Best regards

Lin Li

CPA, CFA

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Please consider the environment before printing.

From: Li, Lin L (UK - London)
Sent: 30 March 2016 15:45
To: 'Lewis Martin'
Cc: Edmund Ward
Subject: RE: queries re periodic data check [OFFICIAL]

Hi Lewis

Thank you very much for the clarification below.

I went through the periodic data check with Edmund in the system today, I have a few more questions I'd like to follow up with you:

1. Do we have a log which could show how many data submissions have been reviewed by a PDS reviewer and how many have been through either mandatory DA review or been selected as a sample by the DA?
2. For two of the samples I selected: Personal information redacted by the RHI Inquiry (period end 11/03/2016) and Personal information redacted by the RHI Inquiry (period end 03/12/2015), the manual calculation doesn't seem to be documented in the PDS review. Do we require the team to document their calculation? Or is there a reason they didn't perform the re-calculation?

Thanks.

Best regards

Lin Li

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From: Lewis Martin [mailto:Lewis.Martin@ofgem.gov.uk]
Sent: 24 March 2016 17:14
To: Li, Lin L (UK - London)
Cc: Edmund Ward
Subject: RE: queries re periodic data check [OFFICIAL]

Hi Lin,

Hopefully the below answers your queries:

It might be worth another phone call to walk through these if you have any further questions. Note, as per the section in the SOP where the questions have arisen from, the review is only required where a submission has been flagged by the system for checking (as stated at the start of section 6). So, for example, if no exceptions are flagged on a medium/large biomass system, a review is not required. Where an exception is raised on the submission for a medium/large biomass system, then the PD reviewer should follow the review process indicated.

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Annotated by RHI Inquiry

1. Can you please confirm whether all the following criteria can be picked up by the system and included in the mandatory review queue? Or are any of these needed to be selected by the reviewer?
 - a) All Y1Q1(will be picked up from period dates and exception). Yes – internal exception is raised which routes the submission to the mandatory review queue automatically.
 - b) The first Q post amendment (will be picked up from exception). Yes – internal exception is raised which routes the submission to the mandatory review queue automatically.
 - c) Any submission that has been W/P (will be picked up from exception). Yes – internal exception is raised which routes the submission to the mandatory review queue automatically as the submission will have been edited.
 - d) Any submission that has a grid missing. No – this is not automatically routed from the system. Note, a grid missing in the CRM does not mean that there is an issue with the data as the information for the submission will be contained within the Register
 - e) Biogas and Biomethane – No, Biogas is not automatically routed by the system; Yes, Biomethane is routed automatically for a review by the system. Again, as per the above, unless a flag/exception is generated (for example in relation to fuel consignment information) in relation to a biogas submission then a full review is not required.
 - f) Medium and Large biomass – No, the system does not automatically route these to the mandatory review queue. Again, as per the above, unless a flag/exception is raised in relation to the submission itself, then a full review is not required.
 - g) Estimated data submissions – Yes, an exception is raised which routes the submission to the mandatory review queue automatically.
 - h) Cases with a submission date of on or prior to the 15 September – Yes, the system will now generate a flag/late data exception resulting in the submission being automatically routed into the mandatory review queue.

2. The first reason for incorrect final payment calculation is "An incorrect tariff being applied to the submissions." (section 19.2.1), is this a result of error of tariff in the system? As I understand the tariff is applied automatically?

The examples listed here are, essentially 'worst case scenarios' to highlight to those using the SOP the full review process if for example the system failed, for example if no tariff/payment information was listed. You are correct however, tariffs are applied automatically within the system.

Regards
Lewis

From: Li, Lin L (UK - London) [mailto:linli@deloitte.co.uk]

Sent: 24 March 2016 13:20

To: Lewis Martin

Subject: queries re periodic data check

Hi Lewis

Thank you very much for your time for the call this morning

I have a couple of follow up questions regarding the periodic data review:

1. Can you please confirm whether all the following criteria can be picked up by the system and included in the mandatory review queue? Or are any of these needed to be selected by the reviewer?
 - All Y1Q1(will be picked up from period dates and exception).
 - The first Q post amendment (will be picked up from exception).
 - Any submission that has been W/P (will be picked up from exception).
 - Any submission that has a grid missing.
 - Biogas and Biomethane
 - Medium and Large biomass
 - Estimated data submissions
 - Cases with a submission date of on or prior to the 15 September
2. The first reason for incorrect final payment calculation is "An incorrect tariff being applied to the submissions." (section 19.2.1), is this a result of error of tariff in the system? As I understand the tariff is applied automatically?

Thanks.

Best regards

Lin Li

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