

To: Jacqueline Balian[Jacqueline.Balian@ofgem.gov.uk]
Cc: Keith Avis[Keith.Avis@ofgem.gov.uk]; Morag Drummond[Morag.Drummond@ofgem.gov.uk]; Ade Obaye[Ade.Obaye@ofgem.gov.uk]
From: Robert Reid
Sent: 2012-10-25T15:35:43Z
Importance: Normal
Subject: RE: Administrative Arrangement letter to DETI
Received: 2012-10-25T15:35:45Z

Hi Jacqueline,

In the time that allowed, this is what I have been able to provide. I have not been able to get hold of Gavin, but have spoken with both Karen Boyle and Samantha.

Ofgem carries out a robust annual audit programme that is approved by Audit Committee and undertaken by Deloitte. The programme is defined by the Corporate Risk Register and focuses on new and emerging risks to the delivery of our schemes. The findings are reported to Audit Committee with clear accountabilities and regular reviews of recommended actions. In addition, Ofgem E-Serve commissions independent risk assessments of new schemes, including for the Renewable Heat Incentive. Independent audits have been carried out on the major components of the scheme before go-live. Ofgem also works closely with the Serious Organised Crime Agency (SOCA) to implement measures to prevent infiltration of organised crime and money laundering gangs.

From: Jacqueline Balian
Sent: 25 October 2012 15:47
To: Robert Reid
Subject: FW: Administrative Arrangement letter to DETI

From: Jacqueline Balian
Sent: 25 October 2012 15:31
To: Morag Drummond; Gavin Jenkins; Ade Obaye
Cc: Keith Avis
Subject: FW: Administrative Arrangement letter to DETI

Hi there,

Bob has asked Keith to inform DETI of how regularly and thoroughly we are audited to show that DETI really don't need to do it to. Rob has given some information to Keith on the audits we carry out, but I don't think this is what is really needed.

Morag, it would be ideal if you could lead on this, but if you are not around perhaps between Ade and Gavin some information could be put together for Keith. What I think it should cover is:

The fact that Deloitte carry out independent audits before go live of all major components of the scheme, accreditations, payments etc

That NFA and SOCA have reviewed our systems for robustness

That Deloitte will carry out further assurance work as necessary

That our own fraud and risk processes are reviewed by the ?audit cttee? SMT?

Perhaps Gavin could add some information about the cross governmental audit of financial risk which took place last year?

Could Ade or Morag pull this into a para and forward to Keith please?

Many thanks

Jacqueline

From: Keith Avis
Sent: 25 October 2012 14:39
To: Marcus Porter
Cc: Paul Heigl; Mary Smith; Jacqueline Balian
Subject: Administrative Arrangement letter to DETI

Marcus, Mary, Paul, Jacqueline.

Grateful if you could please review the attached draft, which builds on comments on previous documents, and let me have comments by 4pm today please.

Rgds

Keith