

From: Smith, Alan
To: [Reid, Victoria](#)
Cc: [Marten, Lucy](#); [Montgomery, Paul](#)
Subject: RE: Outstanding Internal Audit Recommendations Feb17
Date: 09 March 2017 11:03:00
Attachments: [image001.png](#)

Victoria,

Many thanks. As part of the RHI steering group meetings I submitted a couple of papers which reviewed the RHI from an economics perspective. The first of which was 'RHI high level value for money and options assessment' the second examined further options. However, I wouldn't consider this analysis to constitute a PPE.

With regard to recommendation item 8, 'TOR for evaluation of Scheme complete and passed to Energy Economist'. Do you have a copy of the TOR?

Happy to discuss,

Alan

From: Reid, Victoria
Sent: 08 March 2017 10:40
To: Smith, Alan
Cc: Marten, Lucy; Montgomery, Paul
Subject: RE: Outstanding Internal Audit Recommendations Feb17

Hi Alan

Apologies. Attachment now included.

Regards

Victoria

From: Smith, Alan
Sent: 08 March 2017 10:31
To: Reid, Victoria
Cc: Marten, Lucy; Montgomery, Paul
Subject: RE: Outstanding Internal Audit Recommendations Feb17

Hi Victoria,

There is no document attached. I'd be grateful if you can provide for me to consider.

Best regards,

Alan

From: Reid, Victoria
Sent: 07 March 2017 15:10

To: Smith, Alan
Cc: Marten, Lucy; Montgomery, Paul
Subject: FW: Outstanding Internal Audit Recommendations Feb17

Alan

Please see my request to Lucy below and Lucy's response. Please can you advise.

Many thanks
Victoria

From: Marten, Lucy
Sent: 07 March 2017 11:50
To: Reid, Victoria
Subject: RE: Outstanding Internal Audit Recommendations Feb17

Hi Victoria

Could I suggest that you contact Alan Smith as I think he was working on this?

Grateful if you could keep me copied in.

Thanks

Lucy

Lucy Marten
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From: Reid, Victoria
Sent: 06 March 2017 13:40
To: Marten, Lucy <Lucy.Marten@economy-ni.gov.uk>
Subject: FW: Outstanding Internal Audit Recommendations Feb17

Lucy

Re Recommendation 8 in the attached, for which Paul has been asked to comment/update, the Management Response refers to a "TOR for evaluation of Scheme complete and passed to Energy Economist.". Was/Is there an intention to undertake a PPE? Reading above, was the intention to outsource this work as opposed to undertaking in house? What progress was made

in this respect?

I am planning to respond on the final Update column but it would be useful to know the history on this one first. Our response is likely to suggest a PPE on the original OBC is inappropriate following subsequent events and reviews.

Many thanks
Victoria

From: Montgomery, Paul
Sent: 06 March 2017 12:14
To: Reid, Victoria
Subject: FW: Outstanding Internal Audit Recommendations Feb17

Victoria,

Please see below for info- it might be an idea to check with Lucy as to what actually happened with the evaluation- whilst events presumably overtook intentions, it would be good to confirm.

Paul

From: McAdams, Jonathan
Sent: 06 March 2017 12:04
To: McCann, Brendan; Montgomery, Paul; Marten, Lucy
Subject: RE: Outstanding Internal Audit Recommendations Feb17

Brendan, Paul, Lucy,

Ignore the last version!

You'll see Brendan helpfully got a revised version of the document.

I've updated from the Taskforce perspective and also completed the implementation status column for those where I'm aware – again I've left your name and a comment where there is something you might usefully review.

Thanks,

Jonathan

From: McCann, Brendan
Sent: 06 March 2017 11:03
To: McAdams, Jonathan
Subject: FW: Outstanding Internal Audit Recommendations Feb17
Importance: High

Jonathon

Please see below the updated record of Internal Audit Recommendations. Can you transfer your comments to this please.

Thanks

Brendan

From: McStravick, Conor (DfE)
Sent: 03 March 2017 17:08
To: McCann, Brendan
Cc: McClean, Mark
Subject: FW: Outstanding Internal Audit Recommendations Feb17
Importance: High

Brendan

Apologies, Column H should have been blank when you received it. I have now corrected this on the attached version.

I have also provided an update in column L against the recommendations where CGPEB had an action. I believe that these have all now been completed.

Could you please confirm if it is Stephen we should be going to for updates re the Domestic RHI Scheme (second sheet on attached spreadsheet)?

Regards
Conor

Conor McStravick

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From: McCann, Brendan
Sent: 03 March 2017 15:39
To: McStravick, Conor (DfE)
Subject: FW: Outstanding Internal Audit Recommendations Feb17
Importance: High

From: McAdams, Jonathan
Sent: 03 March 2017 13:43
To: Montgomery, Paul; McCann, Brendan; Marten, Lucy
Cc: McMurray, Stephen
Subject: FW: Outstanding Internal Audit Recommendations Feb17
Importance: High

Folks,

I've been able to fill in a good deal of this update template, but would be grateful for views, and in addition I've noted areas where you might have input relevant to the recommendation (I've left a comment in the far right-hand-side column with your name beside those).

If you want to send comments back to me I can pull together a single return on Stephen's behalf.

Regards,

Jonathan

From: McMurray, Stephen
Sent: 28 February 2017 15:36
To: McAdams, Jonathan
Subject: FW: Outstanding Internal Audit Recommendations Feb17
Importance: High

To consider

Ta stephen

Stephen McMurray

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From: McClean, Mark **On Behalf Of** DfE Corporate Governance
Sent: 28 February 2017 15:34
To: McMurray, Stephen
Cc: DfE RHI Taskforce; McAdams, Jonathan; McCann, Brendan; Marten, Lucy; DfE Corporate Governance
Subject: Outstanding Internal Audit Recommendations Feb17
Importance: High

Stephen,

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DfE Internal Audit Recommendations Register - Monitoring period to 28 February 2017.

Please find attached progress monitoring tables for completion by a branch or branches within your Division. As sought by DfE Audit and Risk Assurance Committee (ARAC), updates on the progress made against the recommendation **up to the period 28 February 2017** should be provided in the highlighted column.

In reviewing the recommendation please also confirm or select the appropriate status from the drop down box i.e. IMPLEMENTED, PARTIALLY IMPLEMENTED or NOT IMPLEMENTED and if implemented please state when.

Please note, if you believe that a recommendation will not be implemented at all then an explanation should be given as to why this is the case. If partially implemented, a timeframe of when it is likely to be fully implemented should be included.

Please review and update the recommendation and forward your updated response to corporate governance mailbox at Corporate.Governance@economy-ni.gov.uk by COP **Thursday 9 March 2017** in order to allow for compilation of a report to the ARAC for its meeting in late March.

Many thanks

Mark McClean

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